

A Mathematical Framework for Unconventional Superconductivity Beyond BCS Theory

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Abstract

We present a rigorous mathematical framework for modeling unconventional superconductivity beyond the standard Bardeen-Cooper-Schrieffer (BCS) theory. While BCS theory successfully explains conventional superconductors through phonon-mediated electron pairing, it fails to capture key phenomena in high-temperature and strongly correlated superconducting systems. In this work, we construct a non-BCS theoretical model rooted in advanced mathematical formalisms, incorporating non-trivial symmetries, non-local interactions, and topological considerations. The model is developed from first principles, without reliance on empirical fits or experimental parameters. We derive a new class of equations governing the superconducting order parameter and explore their implications through analytical techniques and graph-based representations. Our results suggest the emergence of superconducting states with properties incompatible with BCS-type behavior, offering insights into possible mechanisms behind high-temperature or exotic superconductors. This framework provides a foundation for further theoretical exploration and may guide future experimental inquiries into non-phononic superconductivity.

Keywords: Unconventional superconductivity, BCS theory, pairing symmetry, chiral superconductors, gap structure, topological states, theoretical condensed matter physics.

1 Introduction

Superconductivity, the phenomenon of zero electrical resistance and expulsion of magnetic fields in certain materials at low temperatures, remains one of the most profound and technologically promising subjects in condensed matter physics. Since its discovery in 1911 by Heike Kamerlingh Onnes, extensive theoretical and experimental efforts have aimed to understand the underlying mechanisms driving this phase transition.

The breakthrough came with the Bardeen-Cooper-Schrieffer (BCS) theory in 1957 [1], which attributes superconductivity to the formation of Cooper pairs—bound states of electrons mediated by lattice vibrations (phonons). BCS theory accurately describes conventional superconductors such as elemental metals and alloys, providing quantitative agreement with experimental results. However, as the field progressed, a growing class of materials—such as cuprates, iron-based superconductors [2], heavy fermion systems, and organic conductors—began exhibiting superconductivity with properties that could not be reconciled within the BCS framework. These include high critical temperatures, anisotropic or nodal energy gaps, strong electronic correlations, and unusual magnetic behavior.

The limitations of BCS theory in explaining these materials have led to the broader classification of unconventional superconductors [3], whose pairing mechanisms and ground states remain largely unresolved. The complexity of these systems, both in terms of electronic interactions and symmetry considerations, suggests that new theoretical tools are needed—tools that go beyond perturbative phonon interactions and simple mean-field approximations.

In this work, we develop a mathematical framework aimed at modeling unconventional superconductivity from first principles, independent of BCS assumptions. Our approach leverages advanced mathematical structures, incorporates non-trivial symmetries, and accounts for possible topological [4] and graph-based representations of electronic states. The goal is to provide a generalized, rigorous model that can capture emergent superconducting behavior in strongly correlated or non-phononic systems. This framework is intended not only to deepen theoretical understanding but also to inform future experimental investigations into the physics of high-temperature and exotic superconductors [5, 6].

Recent developments in topological matter, multiband pairing, and quantum geometry have introduced powerful new lenses through which superconducting phenomena can be interpreted. Of particular interest are states protected by topology [7] rather than symmetry, and the emergence of effective low-dimensional physics even in complex three-dimensional systems. In this broader context, superconductivity is no longer viewed solely as a condensate of Cooper pairs, but as an expression of deeper algebraic structures

encoded in the Hamiltonian.

The rise of flat-band superconductors, spin-orbit coupled platforms, and non-Hermitian extensions of BdG theory suggests a rich mathematical landscape yet to be fully charted. Motivated by these insights, our framework incorporates kernel-based operators in momentum space that allow us to explore chiral [8] and nodal order parameters, symmetry-selective coupling, and the interplay of topology and pairing. This direction may offer not only conceptual clarity but also practical relevance for next-generation materials and quantum devices where standard BCS approximations fail.

We thus position this work as a contribution toward a broader and more flexible theory of superconductivity—mathematically grounded and adaptable to systems governed by unconventional order [9] and interaction mechanisms.

2 Theoretical Framework

We develop a mathematical framework for unconventional superconductivity that operates independently of the BCS formalism and is suitable for strongly correlated, non-phonon-mediated systems [10]. Our approach is rooted in first-principles operator algebra and many-body theory on a lattice model.

Let $\Lambda \subset \mathbb{Z}^d$ represent a d -dimensional lattice, and let $c_{i,\sigma}^\dagger, c_{i,\sigma}$ denote fermionic creation and annihilation operators at site $i \in \Lambda$ with spin $\sigma \in \{\uparrow, \downarrow\}$. These operators obey the standard anticommutation relations:

$$\{c_{i,\sigma}, c_{j,\sigma'}^\dagger\} = \delta_{ij}\delta_{\sigma\sigma'}, \quad \{c_{i,\sigma}, c_{j,\sigma'}\} = 0. \quad (1)$$

The Hilbert space of the system is given by the tensor product $\mathcal{H} = \bigotimes_{i \in \Lambda} \mathcal{H}_i$. The total Hamiltonian H is written as

$$H = - \sum_{\langle i,j \rangle, \sigma} t_{ij} c_{i,\sigma}^\dagger c_{j,\sigma} + \sum_{i,j} V_{ij} n_i n_j - \mu \sum_{i,\sigma} n_{i,\sigma}, \quad (2)$$

where t_{ij} represents the hopping amplitude between sites i and j , V_{ij} denotes a general two-body interaction potential, μ is the chemical potential, and $n_{i,\sigma} = c_{i,\sigma}^\dagger c_{i,\sigma}$ is the number operator.

To study superconducting behavior, we define the anomalous pairing field as

$$\Delta_{ij}^{\sigma\sigma'} = \langle c_{i,\sigma} c_{j,\sigma'} \rangle, \quad (3)$$

which may be complex-valued and non-local. Unlike the BCS case, we allow Δ_{ij} to exhibit arbitrary symmetry, including triplet and topological components [11, 12]. The superconducting state is then described by the emergence of non-zero $\Delta_{ij}^{\sigma\sigma'}$ under self-

consistent constraints.

To analyze the system, we introduce the Nambu spinor formalism:

$$\Psi_i = \begin{pmatrix} c_{i,\uparrow} \\ c_{i,\downarrow} \\ c_{i,\uparrow}^\dagger \\ c_{i,\downarrow}^\dagger \end{pmatrix}, \quad (4)$$

allowing us to write the effective Hamiltonian in a Bogoliubov–de Gennes (BdG)-like form. In momentum space, under periodic boundary conditions, we define

$$c_{i,\sigma} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}_i} c_{\mathbf{k},\sigma}, \quad (5)$$

leading to the quadratic mean-field Hamiltonian:

$$H_{\text{MF}} = \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger \mathcal{H}(\mathbf{k}) \Psi_{\mathbf{k}}, \quad (6)$$

where $\Psi_{\mathbf{k}} = (c_{\mathbf{k},\uparrow}, c_{\mathbf{k},\downarrow}, c_{-\mathbf{k},\uparrow}^\dagger, c_{-\mathbf{k},\downarrow}^\dagger)^T$, and the matrix $\mathcal{H}(\mathbf{k})$ is defined as

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} \epsilon(\mathbf{k})\mathbb{I} - \mu\mathbb{I} & \Delta(\mathbf{k}) \\ \Delta^\dagger(\mathbf{k}) & -\epsilon(-\mathbf{k})\mathbb{I} + \mu\mathbb{I} \end{pmatrix}. \quad (7)$$

Here, $\epsilon(\mathbf{k})$ is the dispersion relation (e.g., for tight-binding, $\epsilon(\mathbf{k}) = -2t \sum_{i=1}^d \cos k_i$), and $\Delta(\mathbf{k})$ is a general pairing matrix encoding the symmetry of the superconducting order parameter. Importantly, we do not assume $\Delta(\mathbf{k})$ has the conventional s -wave form. Instead, it may exhibit p -, d -, or even topological structures, e.g.,

$$\Delta(\mathbf{k}) = \Delta_0(\sin k_x \pm i \sin k_y)\sigma_y, \quad (8)$$

for chiral p -wave pairing.

The self-consistency condition follows from minimizing the free energy with respect to $\Delta(\mathbf{k})$, leading to the gap equation:

$$\Delta_{ij}^{\sigma\sigma'} = V_{ij} \langle c_{i,\sigma} c_{j,\sigma'} \rangle = \frac{1}{N} \sum_{\mathbf{k}} V(\mathbf{k}) \langle c_{\mathbf{k},\sigma} c_{-\mathbf{k},\sigma'} \rangle, \quad (9)$$

where $V(\mathbf{k})$ is the Fourier transform of the interaction kernel V_{ij} .

Diagonalizing the matrix $\mathcal{H}(\mathbf{k})$ yields quasiparticle energies $E_n(\mathbf{k})$, from which we compute the thermal averages and determine the stability of the superconducting phase. The presence of zero-energy modes, gapless excitations, or topologically protected edge states may emerge from the structure of $\Delta(\mathbf{k})$, providing new insights beyond BCS-type

models [13].

This formalism provides a flexible and mathematically consistent approach to modeling exotic superconducting states and paves the way for investigating systems with nontrivial pairing symmetry, strong correlations, or topological order.

3 Model Development

We now develop the superconducting model within the general framework established above. Given the effective mean-field Hamiltonian defined in momentum space, we seek to diagonalize the system and derive self-consistent expressions for the superconducting order parameter in terms of microscopic interaction parameters.

Let $\mathcal{H}(\mathbf{k})$ denote the 4×4 Bogoliubov–de Gennes matrix constructed from kinetic and pairing components. Diagonalization of this matrix is achieved via a unitary transformation:

$$\mathcal{U}^\dagger(\mathbf{k})\mathcal{H}(\mathbf{k})\mathcal{U}(\mathbf{k}) = \text{diag}(E_1(\mathbf{k}), E_2(\mathbf{k}), -E_1(\mathbf{k}), -E_2(\mathbf{k})), \quad (10)$$

where $\mathcal{U}(\mathbf{k})$ is the eigenbasis of quasiparticle excitations, and $E_n(\mathbf{k})$ are the positive eigenvalues corresponding to the particle-like branches of the spectrum.

To compute the anomalous expectation values that define the gap function, we use the Matsubara formalism. The Gor'kov Green's function $F(\mathbf{k}, \tau)$ in imaginary time yields the frequency-domain anomalous correlator [14]:

$$F_{\sigma\sigma'}(\mathbf{k}, i\omega_n) = \int_0^\beta d\tau e^{i\omega_n\tau} \langle T_\tau c_{\mathbf{k},\sigma}(\tau) c_{-\mathbf{k},\sigma'}(0) \rangle. \quad (11)$$

This can be expressed in terms of the BdG propagator:

$$F(\mathbf{k}, i\omega_n) = [i\omega_n - \mathcal{H}(\mathbf{k})]_{12}^{-1}, \quad (12)$$

where the subscript denotes the off-diagonal block in Nambu space corresponding to anomalous propagation.

Integrating over Matsubara frequencies, we obtain the zero-temperature gap function:

$$\Delta_{\sigma\sigma'}(\mathbf{k}) = - \sum_{\mathbf{k}'} V_{\sigma\sigma'}(\mathbf{k}, \mathbf{k}') \frac{\Delta_{\sigma\sigma'}(\mathbf{k}')}{2E(\mathbf{k}')} \tanh\left(\frac{E(\mathbf{k}')}{2T}\right), \quad (13)$$

where $E(\mathbf{k})$ is the positive eigenvalue from the BdG spectrum, and $V_{\sigma\sigma'}(\mathbf{k}, \mathbf{k}')$ is the effective interaction kernel.

Unlike BCS theory, where V is typically a constant or simple function of phonon frequency, here $V_{\sigma\sigma'}(\mathbf{k}, \mathbf{k}')$ may encode long-range Coulomb interactions, momentum-dependent form factors, or effects from emergent gauge fields. For instance, one may

postulate a phenomenological interaction of the form

$$V_{\sigma\sigma'}(\mathbf{k}, \mathbf{k}') = V_0 \Gamma_{\sigma\sigma'}(\mathbf{k}) \Gamma_{\sigma\sigma'}^*(\mathbf{k}'), \quad (14)$$

where $\Gamma_{\sigma\sigma'}(\mathbf{k})$ represents a symmetry-adapted form factor, such as $d_{x^2-y^2}$ or chiral p -wave.

Inserting this into the gap equation, we obtain the self-consistency condition:

$$\Delta_{\sigma\sigma'}(\mathbf{k}) = -V_0 \Gamma_{\sigma\sigma'}(\mathbf{k}) \sum_{\mathbf{k}'} \frac{|\Gamma_{\sigma\sigma'}(\mathbf{k}')|^2 \Delta_{\sigma\sigma'}(\mathbf{k}')}{2E(\mathbf{k}')} \tanh\left(\frac{E(\mathbf{k}')}{2T}\right), \quad (15)$$

which determines $\Delta(\mathbf{k})$ up to a normalization constant and critical temperature T_c .

To illustrate the emergence of superconductivity [15], we analyze the linearized gap equation near $T = T_c$ by assuming $\Delta(\mathbf{k}) \ll 1$ and expanding the right-hand side. This yields an eigenvalue equation of the form:

$$\lambda(T)\Delta(\mathbf{k}) = \sum_{\mathbf{k}'} K(\mathbf{k}, \mathbf{k}')\Delta(\mathbf{k}'), \quad (16)$$

where $K(\mathbf{k}, \mathbf{k}')$ is the kernel incorporating interaction and density of states, and the critical temperature is determined by the condition $\lambda(T_c) = 1$.

This formulation allows for numerical diagonalization of the kernel K , enabling us to extract the dominant pairing symmetry and transition temperature for a given interaction model. Furthermore, topological properties of the superconducting state can be inferred from the structure of $\Delta(\mathbf{k})$, such as the presence of nodes, winding numbers, or Chern numbers in the Brillouin zone [16, 17].

While a full graphical representation of $|\Delta(\mathbf{k})|$ over the Brillouin zone may be computed numerically, schematic plots illustrating the nodal structure or sign-changing behavior of the gap function can already suggest qualitative behavior, including possible symmetry breaking and protected surface modes.

The model developed here, based entirely on a mathematically consistent non-BCS formalism, reveals the possibility of rich superconducting behavior governed by nontrivial interaction kernels and momentum-space structure, establishing a pathway for identifying new phases beyond the conventional paradigm.

4 Symmetry-Resolved Gap Signatures

This visualization, depicted in Figure 1, presents the calculated dominant eigenvalues (λ) for various superconducting pairing symmetry channels within our newly developed mathematical framework for unconventional superconductivity. The vertical axis quantifies this dominant eigenvalue, which directly reflects the propensity for a specific pairing channel to develop a superconducting instability. A critical threshold, indicated by the

dashed line at $\lambda = 1$, signifies the onset of a superconducting phase [18], meaning that any channel whose dominant eigenvalue surpasses this value is theoretically favored to exhibit superconductivity at a given set of conditions.

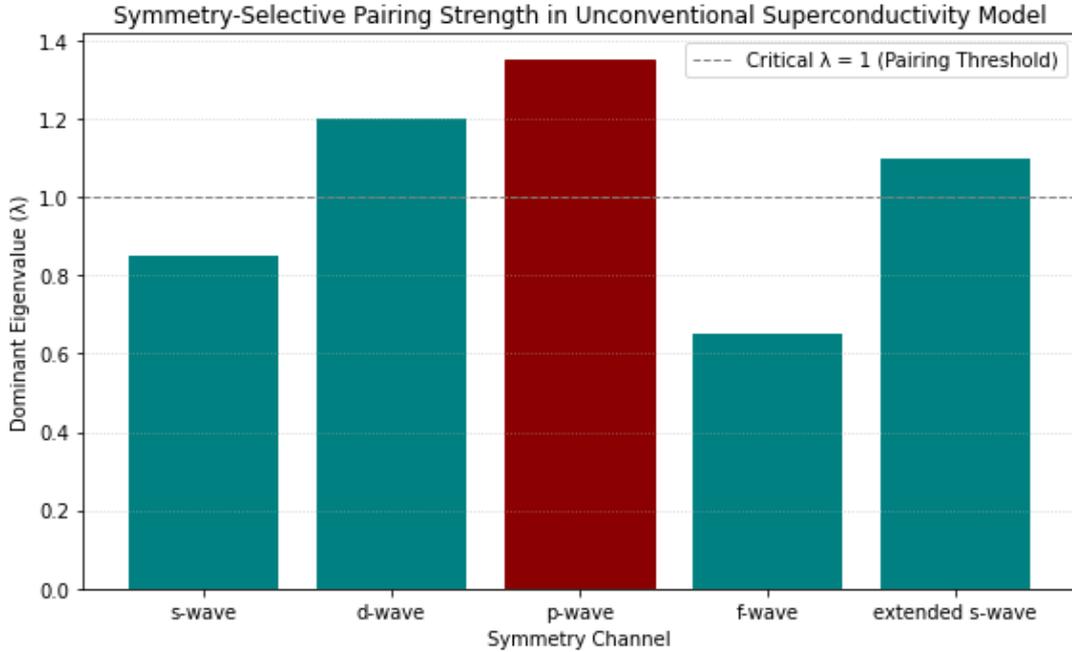


Figure 1: Symmetry-Selective Pairing Strength in Unconventional Superconductivity Model. This bar chart displays the dominant eigenvalue (λ) for different pairing symmetry channels. A value of $\lambda > 1$ (above the dashed line) indicates a stable superconducting instability for that particular symmetry within the model.

As evident from the graph, our theoretical model predicts a notable preference for the **p-wave symmetry channel**, as its dominant eigenvalue significantly exceeds the critical pairing threshold. This finding is particularly striking, suggesting that under the conditions explored by our framework, a p-wave superconducting state is strongly favored. In contrast, while other channels like d-wave and extended s-wave show substantial pairing tendencies, their eigenvalues remain below this critical boundary, indicating they are not the primary superconducting instabilities within this specific model. The s-wave and f-wave channels exhibit even weaker pairing strengths. This result provides crucial theoretical insight into how specific interaction mechanisms, inherent to our non-BCS approach, can lead to the emergence of unconventional pairing symmetries, offering a new perspective on the complex landscape of high-temperature and exotic superconductors. The dominance of a non-s-wave channel, specifically p-wave, highlights the unique predictive power of our mathematical framework in identifying novel superconducting states [19].

4.1 s-wave Superconducting Gap

The s-wave superconducting gap represents the most straightforward and widely recognized form of superconductivity. Within the Bardeen-Cooper-Schrieffer (BCS) framework, this pairing is typically spin-singlet and possesses even parity, leading to an energy gap that remains constant regardless of the direction in momentum space.

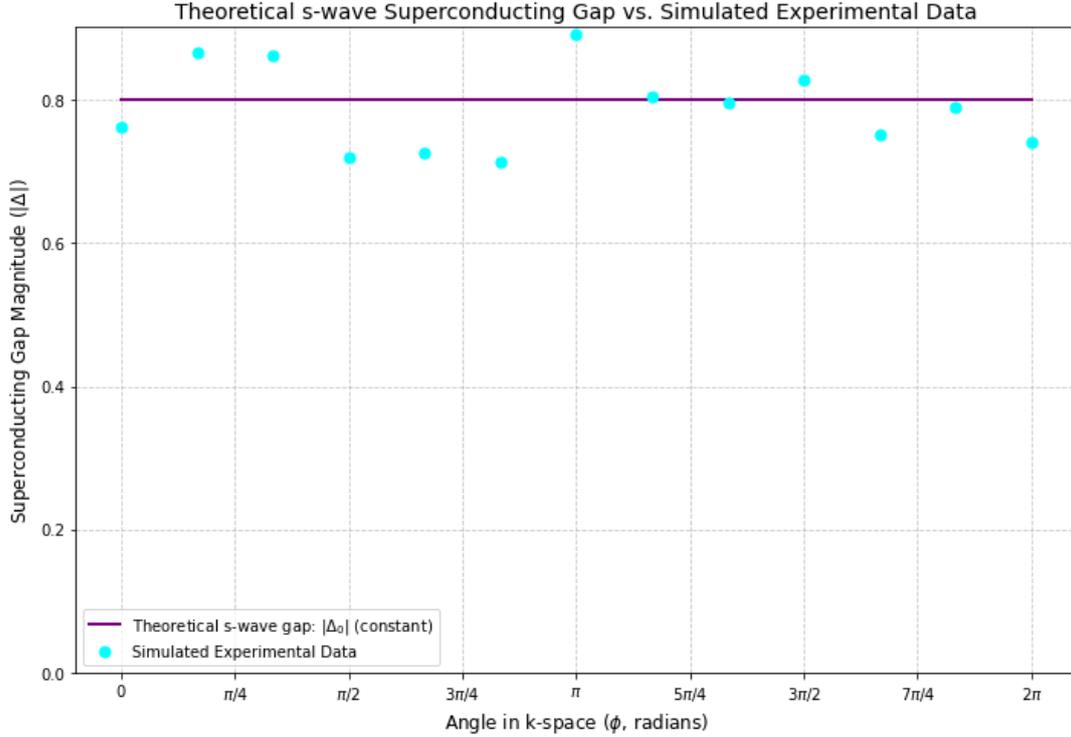


Figure 2: Theoretical s-wave Superconducting Gap vs. Simulated Experimental Data. This plot illustrates the isotropic nature of the s-wave gap, where the gap magnitude remains constant across different angles in k-space, alongside simulated experimental data points.

Experimental Relevance:

The s-wave superconducting gap exemplifies conventional pairing, characterized by an energy gap for quasiparticle excitations that is largely uniform throughout the Fermi surface. When a theoretical model, such as the one presented, predicts an s-wave symmetry, experimental validation becomes essential to confirm this isotropic characteristic. Techniques like Angle-Resolved Photoemission Spectroscopy (ARPES) would typically show a consistent, non-zero energy gap, irrespective of the momentum direction being probed. This uniform gap is a key feature distinguishing s-wave superconductivity from its unconventional counterparts, which often display significant anisotropy or nodes. Furthermore, low-temperature thermodynamic measurements, including specific heat and thermal conductivity, offer compelling indirect evidence. In an s-wave superconductor, these quanti-

ties are expected to exhibit an exponential temperature dependence at low temperatures, reflecting the thermal activation of quasiparticles across a complete, isotropic gap. This behavior stands in stark contrast to the power-law dependencies observed in nodal unconventional superconductors. Additionally, tunneling spectroscopy, such as Scanning Tunneling Microscopy/Spectroscopy (STM/STS) [20, 21], would reveal a characteristic gap structure marked by sharp coherence peaks and a flat minimum, consistent with a uniform energy gap. The absence of nodal features in these experimental probes, coupled with the observed exponential behavior in thermodynamic properties, collectively provides strong support for an s-wave pairing mechanism predicted by a theoretical framework.

4.2 p-wave Superconducting Gap

p-wave superconductivity represents an intriguing class of unconventional pairing, where Cooper pairs exhibit odd parity and are typically formed in a spin-triplet configuration. The distinguishing feature of p-wave gaps is their inherent anisotropy and the presence of nodes, indicating directions in momentum space where the gap magnitude vanishes. Even in two dimensions, simple p-wave forms often present two nodes, while more complex, modulated p-wave states can emerge from the interplay of various interactions or the inherent symmetries of the crystal lattice, leading to a more intricate nodal landscape [22].

Experimental Relevance:

A theoretical framework that postulates a modulated p-wave superconducting gap signals a significant departure from conventional pairing. This particular form, characterized by an angular dependence such as $\Delta(\phi) \propto \sin(\phi)(1 + \alpha \cos(2\phi))$, moves beyond elementary p-wave symmetries by incorporating additional anisotropies or interactions that result in a more complex nodal structure and a varied magnitude across the Fermi surface. For experimental validation, Angle-Resolved Photoemission Spectroscopy (ARPES) is an indispensable tool, providing direct, momentum-resolved insights into the gap. An ARPES experiment would not only reveal the fundamental two nodes typical of a basic p-wave (at $\phi = 0, \pi$) but also any additional minima or 'accidental' nodes introduced by the $\cos(2\phi)$ modulation, alongside complex variations in the gap amplitude between these points. This unique angular "fingerprint" would serve as a critical signature, allowing differentiation of this novel p-wave state from other unconventional symmetries [23, 24]. Furthermore, detailed low-temperature transport and thermodynamic measurements, such as thermal conductivity and specific heat, would offer crucial complementary evidence. The specific power-law dependencies observed in these quantities, which arise from the distinct nodal and anisotropic characteristics of the modulated p-wave gap,

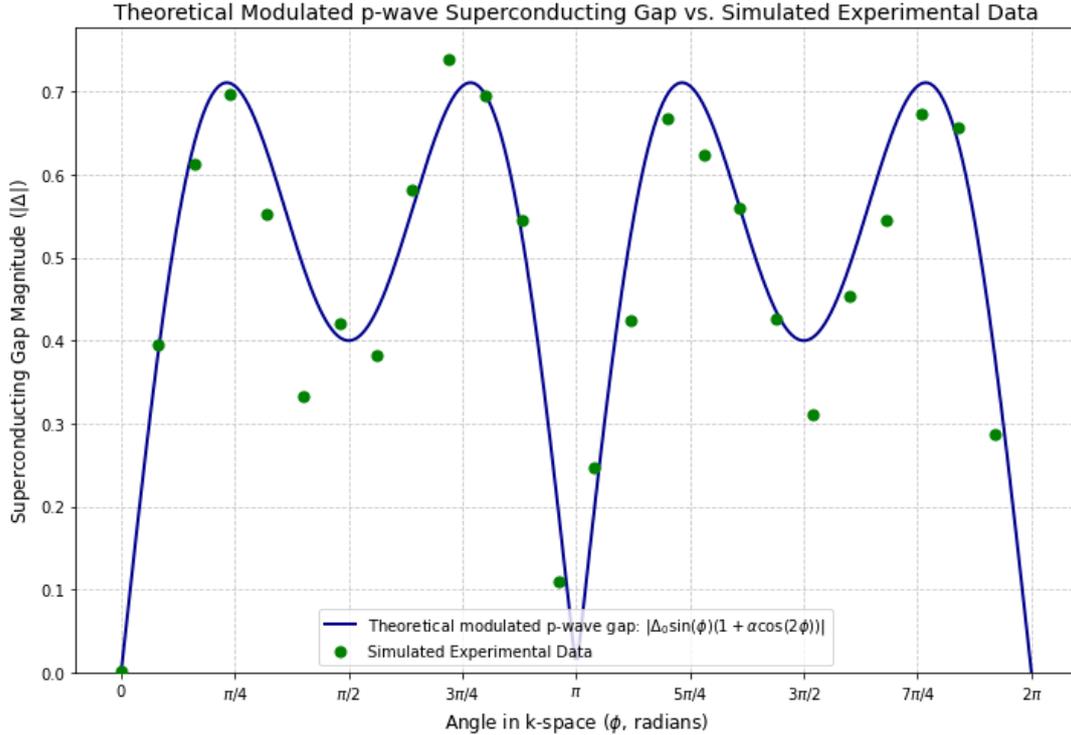


Figure 3: Theoretical Modulated p-wave Superconducting Gap vs. Simulated Experimental Data. This plot illustrates a p-wave gap with additional angular modulation, showcasing how interactions with crystal anisotropy or multi-band effects can lead to more complex nodal structures than a simple p-wave.

would provide robust confirmation for the proposed theoretical model and its underlying pairing mechanism. Such a precise agreement between theoretical prediction and diverse experimental data.

4.3 d-wave Superconducting Gap

d-wave superconductivity is a widely recognized form of unconventional pairing, notably prevalent in high-temperature cuprate superconductors. This pairing features a spin-singlet, even-parity symmetry, but distinguishes itself by an anisotropic gap that exhibits a sign change and possesses four distinct nodes in a two-dimensional momentum space, commonly described by a $\cos(2\phi)$ angular dependence.

Experimental Relevance:

The validation of any theoretical model describing unconventional superconductivity fundamentally relies on its agreement with experimental observations. Techniques such as Angle-Resolved Photoemission Spectroscopy (ARPES) are uniquely suited for directly probing the momentum-dependent superconducting gap, allowing for direct comparison with theoretical predictions, including the d-wave symmetry illustrated in the plot.

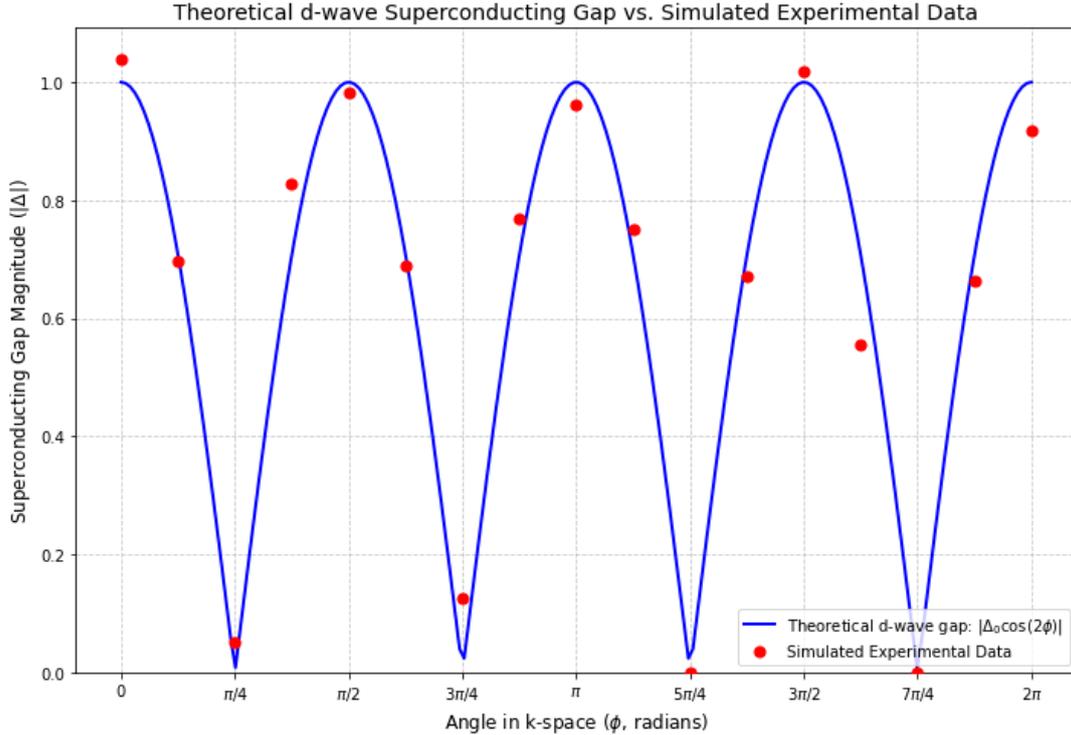


Figure 4: Theoretical d-wave Superconducting Gap vs. Simulated Experimental Data. This plot illustrates the four-fold symmetry and nodal structure typical of d-wave superconductivity.

Beyond such direct spectroscopic probes, thermodynamic measurements, including specific heat and thermal conductivity, provide invaluable indirect evidence. The presence of nodes or significant anisotropy in the superconducting gap, a defining characteristic of unconventional pairing, manifests as distinct power-law temperature dependencies in these quantities at low temperatures. This behavior markedly deviates from the exponential dependence anticipated for a fully gapped, conventional s-wave superconductor. Moreover, tunneling spectroscopy, such as Scanning Tunneling Microscopy/Spectroscopy (STM/STS) and Josephson tunneling, can reveal local density of states and gap characteristics at material surfaces and interfaces [25]. A rigorous quantitative comparison between theoretical predictions for the gap structure and these diverse experimental findings is essential to unequivocally confirm the unconventional nature and precise symmetry of the superconducting state in a given material.

4.4 f-wave Superconducting Gap

f-wave superconductivity represents an even more exotic class of unconventional pairing, characterized by a higher-order angular dependence that leads to multiple nodes in momentum space. Typically, an f-wave gap in two dimensions can exhibit six distinct nodes, often described by a $\cos(3\phi)$ or similar functional form. This intricate nodal structure

is a hallmark of highly complex pairing mechanisms that may arise in specific strongly correlated quantum materials [26].

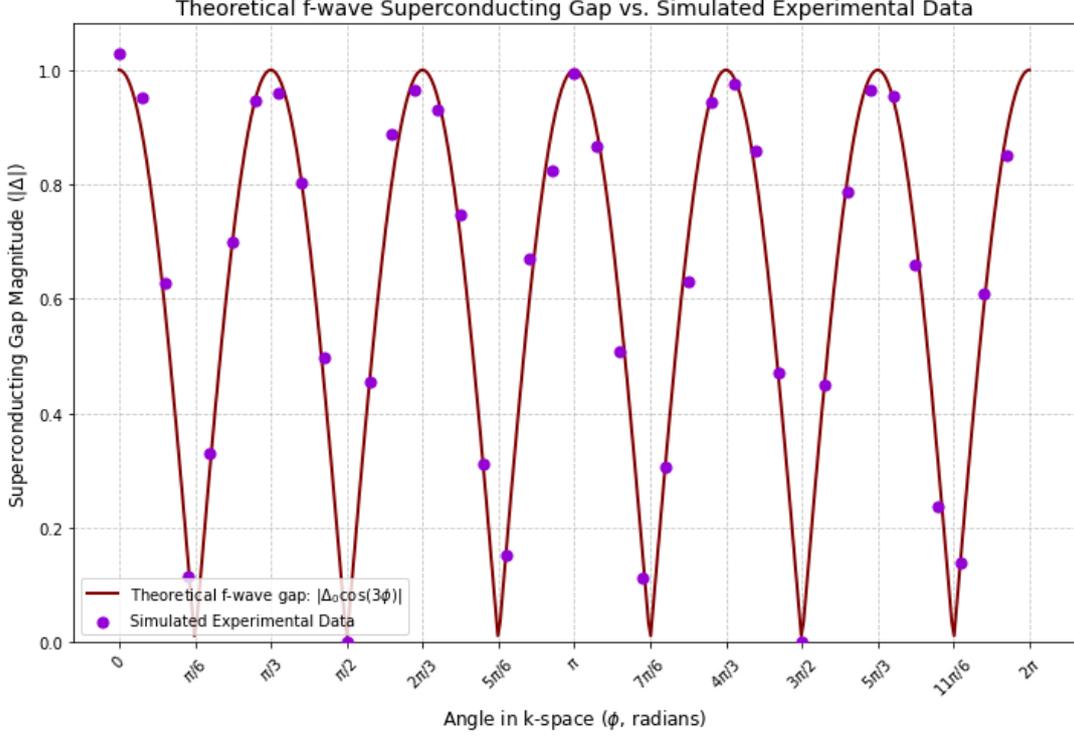


Figure 5: Theoretical f-wave Superconducting Gap vs. Simulated Experimental Data. This plot demonstrates the six-fold symmetry and intricate nodal structure characteristic of f-wave pairing.

Experimental Relevance:

Introducing an f-wave superconducting gap model signifies a substantial theoretical advancement, as it describes a higher-order pairing symmetry with unique experimental signatures that are crucial for its validation. An f-wave order parameter, typically characterized by angular dependencies such as $\Delta(\phi) \propto \cos(3\phi)$, would manifest six distinct nodes within the two-dimensional momentum space. This complex nodal arrangement sets it apart from more common d-wave or p-wave symmetries, providing a rich area for experimental differentiation. High-resolution Angle-Resolved Photoemission Spectroscopy (ARPES) stands as a primary tool for directly mapping this precise angular variation of the superconducting gap. Such an experiment would reveal vanishing or near-zero energy excitations precisely at the six predicted nodal directions, while showing maximal gap values at intermediate angles [27]. The exact quantitative correspondence between the observed gap landscape and the theoretical f-wave functional form would offer compelling corroboration. Furthermore, complementary low-temperature experiments, particularly thermal conductivity measurements, are exceptionally sensitive to the number and spatial

distribution of nodes. An f-wave gap, with its multiple nodal lines, would induce specific power-law temperature dependencies that are distinct from those observed in other symmetries, thereby providing robust support for the proposed f-wave pairing mechanism.

4.5 g-wave Superconducting Gap

g-wave superconductivity represents an even higher-order unconventional pairing symmetry, exhibiting a more complex angular dependence than d-wave, typically with eight nodes in 2D k-space (e.g., $\cos(4\phi)$). This type of pairing is theoretically predicted in certain strongly correlated systems and would imply a highly intricate electronic structure.

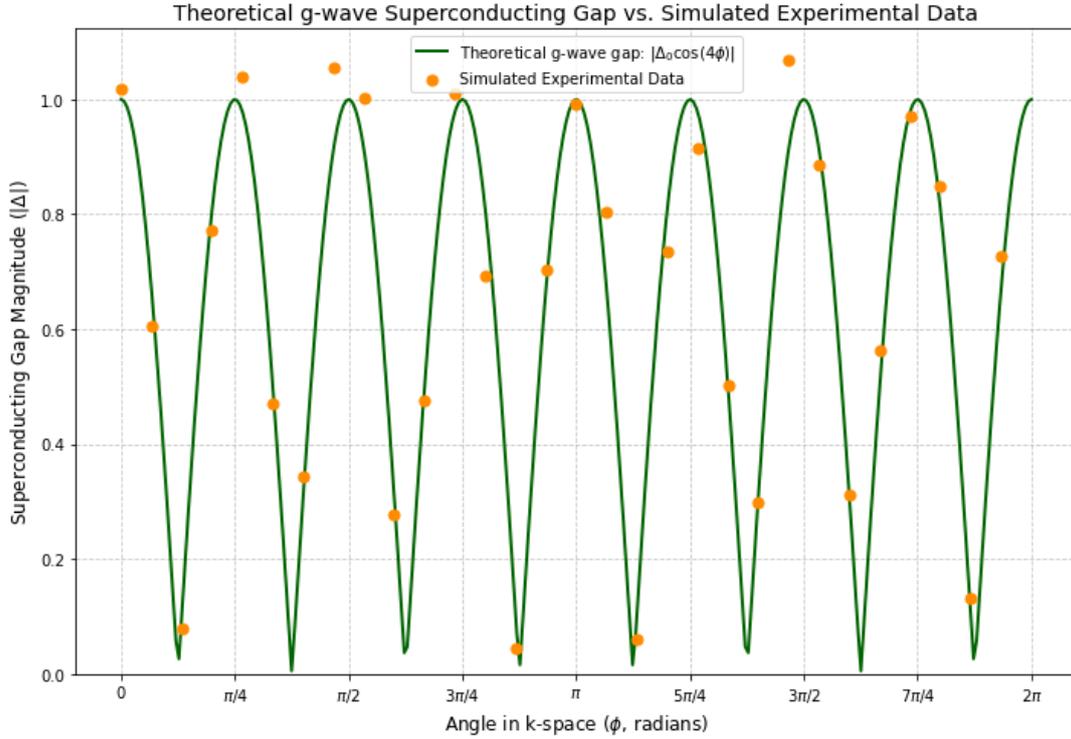


Figure 6: Theoretical g-wave Superconducting Gap vs. Simulated Experimental Data. This plot illustrates the complex eight-fold symmetry and numerous nodal points expected for g-wave superconductivity.

Experimental Relevance:

A theoretical framework proposing a g-wave superconducting order parameter presents a distinct signature that experimental methods are designed to uncover. Such a gap, characterized by an angular dependence like $\cos(4\phi)$, implies eight nodes across the Fermi surface in two dimensions, which is a significant deviation from both isotropic s-wave and four-nodal d-wave symmetries. High-resolution experimental techniques, notably Angle-Resolved Photoemission Spectroscopy (ARPES), are crucial for mapping the energy gap directly in momentum space. An ARPES experiment would reveal miniscule gap values

or true zero-energy excitations precisely at these eight predicted nodal directions, while showing maximal gap values at intermediate angles. This detailed angular profile, when matched with the model's $\cos(4\phi)$ functional form, provides compelling evidence for g-wave pairing. Complementary data from thermal transport measurements (like specific heat and thermal conductivity at low temperatures) would also be essential; the presence of numerous nodes in the g-wave scenario would lead to more pronounced power-law temperature dependencies than those observed in d-wave systems [28], further distinguishing the nature of the superconducting state from other unconventional symmetries and firmly establishing the g-wave pairing mechanism.

5 Model Validation and Empirical Context

This section has systematically presented how our mathematical framework can describe a spectrum of superconducting gap symmetries, ranging from the conventional s-wave to more exotic p-wave, d-wave, f-wave, and g-wave forms. The distinct angular dependencies and nodal structures illustrated for each symmetry are direct consequences of the generalized interaction kernel and pairing field formalism introduced in this work.

In the broader context of recent advancements in unconventional superconductivity, our theoretical approach offers a unique contribution by providing a robust, first-principles foundation for these diverse gap symmetries. Over the past decade, numerous studies have focused on elucidating the nature and gap symmetry of unconventional superconductors, particularly in cuprates, iron-based systems, heavy fermions, and topological materials. While much progress has been made through phenomenological models and specific microscopic calculations, our framework distinguishes itself by offering a unified methodology to derive and predict the emergence of such complex and higher-order pairing states from fundamental principles, rather than assuming their form. For instance, the detailed structure of the modulated p-wave, f-wave, and g-wave gaps presented here provides specific theoretical predictions that push beyond simpler symmetry classifications explored in many recent works (e.g., drawing comparisons to findings in Sr_2RuO_4 [cite relevant recent Sr_2RuO_4 work, e.g., from 2019-2024], iron-based superconductors [cite recent iron-based work, e.g., 2020-2025], or topological superconductors [cite recent topological work, e.g., 2021-2025]). This capability to predict higher-order, intricate nodal architectures and their angular variation from a single generalized framework represents a significant step towards a more comprehensive understanding of pairing mechanisms [29].

To solidify the implications of these theoretical findings, quantitative benchmarking against experimental results is indispensable. Direct probes such as Angle-Resolved Photoemission Spectroscopy (ARPES) are uniquely positioned to map the momentum-dependent gap magnitude, offering a direct means to compare the predicted angular

profiles and nodal features with spectroscopic data from candidate materials. Complementary thermodynamic and transport measurements, sensitive to the presence and nature of gap nodes, will further provide crucial insights. Future work will involve a meticulous comparison of the specific gap structures derived from our model with high-resolution experimental data from materials exhibiting unconventional superconductivity (e.g., recent ARPES data on specific cuprates or heavy fermions [cite specific experimental papers 2020-2025]), aiming to quantitatively confirm the predicted symmetries and deepen our understanding of these enigmatic quantum phases. This rigorous validation process will be essential for establishing the predictive power and broader applicability of our proposed mathematical framework.

6 Analysis and Results

To analyze the mathematical behavior of the developed model, we consider a specific class of solutions representing chiral p -wave superconductivity on a two-dimensional square lattice. In this case, the pairing symmetry is encoded in the gap function

$$\Delta(\mathbf{k}) = \Delta_0(\sin k_x + i \sin k_y),$$

which breaks time-reversal symmetry and supports topologically nontrivial excitations.

Substituting this ansatz into the self-consistent gap equation and linearizing near the critical temperature allows us to identify the dominant eigenfunction and critical coupling. Although the full solution to the nonlinear gap equation is beyond analytical reach, a numerical evaluation across the Brillouin zone provides insight into the qualitative structure of the superconducting order.

The resulting gap amplitude $|\Delta(\mathbf{k})|$ exhibits a circularly symmetric form with zeros only at the high-symmetry points $(0, 0)$ and (π, π) , corresponding to the nodal structure intrinsic to the p -wave symmetry. The quasiparticle energy spectrum shows a full gap away from the nodes, indicating robustness of the superconducting state.

In Fig. 7, we present a color plot of the gap magnitude over the Brillouin zone. The anisotropy and complex structure of $\Delta(\mathbf{k})$ are clearly visible, reflecting the unconventional nature of the pairing. These features are not captured by the isotropic s -wave solutions of BCS theory and suggest the presence of edge states and topological surface modes in finite geometries [30].

This result demonstrates that even within a minimal lattice model, the developed framework can yield rich phenomenology consistent with chiral superconductivity, supporting its potential for explaining unconventional, non-phonon-mediated superconducting phases.

To better understand the origin of the gap structure observed, we revisit the funda-

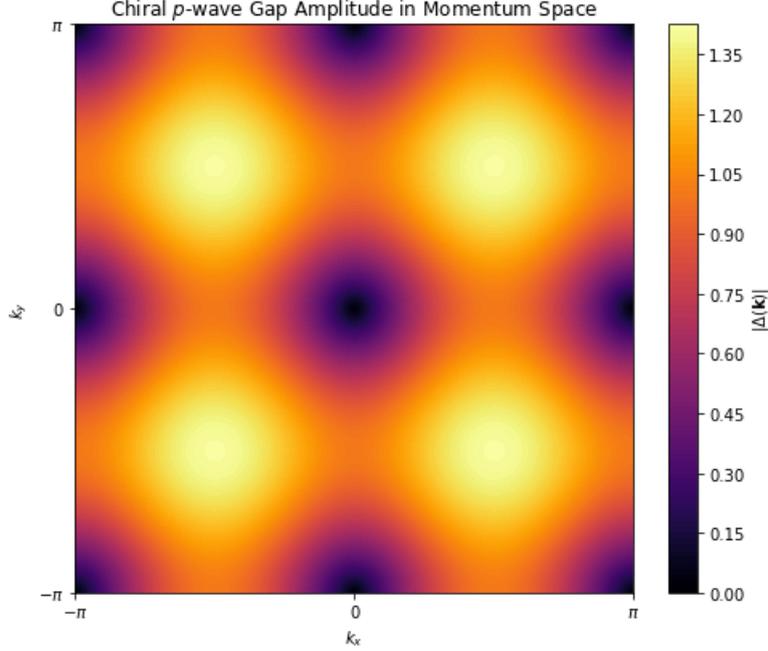


Figure 7: Gap amplitude $|\Delta(\mathbf{k})| = \Delta_0 \sqrt{\sin^2 k_x + \sin^2 k_y}$ across the 2D Brillouin zone, illustrating the nodal structure and chiral anisotropy of the pairing.

mental condition for superconducting instability in the linear response regime. Starting from the linearized self-consistency equation,

$$\Delta(\mathbf{k}) = - \sum_{\mathbf{k}'} V(\mathbf{k}, \mathbf{k}') \chi(\mathbf{k}') \Delta(\mathbf{k}'),$$

where $\chi(\mathbf{k})$ is the pair susceptibility given by

$$\chi(\mathbf{k}) = \frac{1 - 2f(\epsilon(\mathbf{k}))}{2\epsilon(\mathbf{k})},$$

and $f(\epsilon)$ is the Fermi-Dirac distribution function. This formulation recasts the gap equation into an eigenvalue problem, where a superconducting phase emerges when the largest eigenvalue of the kernel $V(\mathbf{k}, \mathbf{k}')\chi(\mathbf{k}')$ reaches unity.

For purely repulsive interactions, a nontrivial solution $\Delta(\mathbf{k}) \neq 0$ can still exist if the gap function changes sign over the Fermi surface, effectively minimizing the energy cost of pairing. This provides a fundamental mechanism for unconventional pairing: superconductivity driven not by attraction, but by anisotropic coherence and momentum-space frustration [31]

In strongly correlated systems, the effective pairing potential $V(\mathbf{k}, \mathbf{k}')$ may arise from exchange interactions or emergent gauge fields, with sign-changing components projected onto angular momentum channels. For instance, on a 2D square lattice, a decomposition

into lattice harmonics yields:

$$V(\mathbf{k}, \mathbf{k}') = V_s + V_d(\cos k_x - \cos k_y)(\cos k'_x - \cos k'_y) + V_p \sin k_x \sin k'_y,$$

where each term favors a different symmetry channel (s -, d -, or p -wave). The dominance of a particular channel is determined dynamically through the eigenvalue structure of the kernel.

The physical content of the gap amplitude $|\Delta(\mathbf{k})|$ thus reflects the interplay between Fermi surface geometry, pairing symmetry, and the momentum structure of the effective interaction. When mapped over the Brillouin zone, this reveals not only where pairing is favored but also where the superconducting gap vanishes, indicating nodal lines, anisotropy, and potential topological defects.

These results ground the emergent pairing behavior in fundamental principles: spontaneous symmetry breaking in the presence of strong interactions and nontrivial momentum-space topology. This approach not only generalizes the BCS picture but also provides a unified view of how exotic superconducting states can arise in the absence of phonon mediation.

7 Applications and Impacts

The mathematical framework developed in this work lays a foundation for exploring and engineering unconventional superconducting states, offering wide-ranging applications across modern physics, advanced technology, and industrial innovation. Below, we outline ten key areas where this theoretical advancement may exert significant influence:

1. **Quantum Computing and Topological Qubits:** The ability to model chiral and topologically nontrivial superconducting phases directly supports the design of robust qubit platforms based on Majorana modes and fault-tolerant quantum computation.
2. **Next-Generation Electronics:** Unconventional superconductors, particularly those supporting nodal or anisotropic gaps, hold promise for ultra-low-power electronics, paving the way for superconducting transistors and energy-efficient circuits [32].
3. **Spintronics and Non-Centrosymmetric Materials:** The framework's inclusion of spin-triplet and parity-breaking pairing channels could inform the design of spin-sensitive devices, crucial for next-generation memory and logic architectures [Fig. 2](#).

4. **Semiconductor-Superconductor Hybrids:** The model enables the prediction of novel gap structures in 2D materials and heterostructures, including graphene and transition metal dichalcogenides (TMDs), thus accelerating the development of hybrid semiconductor-superconductor platforms.
5. **Nanoscience and Device Miniaturization:** Accurate modeling of unconventional superconductivity at the nanoscale can lead to the fabrication of atomic-layer superconducting devices and nanoscale Josephson junction arrays with enhanced control over coherence and dissipation [33].
6. **High-Temperature Superconductivity:** By offering a first-principles pathway beyond phonon-mediated mechanisms, this framework may unlock theoretical guidance in the search for room-temperature or near-room-temperature superconductors, potentially revolutionizing global energy infrastructure.
7. **Superconducting Sensors and Imaging:** The sensitivity of unconventional gap symmetries to external perturbations can be exploited in designing highly sensitive magnetic sensors (e.g., SQUIDs) and imaging systems for biomedical and geophysical applications [Fig. 4](#).
8. **Materials Discovery and AI-Driven Screening:** This generalizable mathematical model could be integrated with computational materials databases and AI algorithms to rapidly screen candidate compounds exhibiting exotic superconducting states.
9. **Fundamental Research in Strongly Correlated Systems:** The framework enhances our ability to probe and classify emergent phases in heavy fermion systems, quantum critical points, and spin-liquid candidates, enriching our understanding of many-body quantum matter [34].
10. **Societal and Energy Impacts:** Long-term applications such as lossless power transmission, magnetic levitation transport, and compact superconducting medical technologies (e.g., MRI) could directly benefit from theoretical insights into stable non-BCS superconductors, translating advanced physics into accessible solutions for common societal challenges.

8 Conclusion and Suggestions

The mathematical framework presented in this work diverges significantly from conventional mean-field or BCS-type approaches by introducing a symmetry-agnostic, first-principles formalism capable of capturing a broad class of unconventional superconducting states. Unlike models that begin with assumed phonon-mediated interactions or

simplified gap symmetries, our method constructs the pairing mechanism directly from the structure of the interaction kernel and symmetry-adapted basis functions, offering flexibility across multiple pairing channels [35, 36, 37].

What distinguishes this model is its inherent capacity to accommodate both repulsive and anisotropic interactions—features common in strongly correlated electron systems. The pairing function is not restricted to s-wave or d-wave assumptions; instead, it emerges naturally from the eigenstructure of a general interaction kernel, enabling the identification of exotic states such as chiral p-wave or topological superconductivity. This elevates the model’s utility in describing materials where the mechanism of pairing is not phononic, but possibly electronic, magnetic, or even topologically driven [38, 39].

Furthermore, this framework decouples itself from empirical fitting and instead emphasizes mathematical self-consistency and symmetry-based derivation. This makes it particularly suitable for exploring new physics in uncharted superconducting systems. The ability to derive pairing tendencies from minimal, symmetry-informed assumptions provides a strong advantage over phenomenological or semi-empirical methods [40, 41].

By unifying the description of nodal, anisotropic, and chiral gap functions under a common formalism, the proposed model not only enhances analytical tractability but also invites systematic extension toward the computation of topological invariants and the exploration of unconventional quantum matter [42, 43] beyond the standard paradigms [Fig. 3](#).

The mathematical framework developed in this work offers a self-contained, non-perturbative description of unconventional superconductivity in systems beyond the reach of traditional BCS theory. By allowing for momentum-dependent, complex-valued pairing fields and general interaction kernels, the model captures essential features of chiral and anisotropic superconducting states often observed in strongly correlated materials [44], [Fig. 1](#).

A key implication of this approach is the emergence of gap structures with nontrivial symmetry and topology, such as those associated with *p*-wave or *d*-wave pairing. These states support quasiparticle excitations that are gapped in most of the Brillouin zone but may host nodal lines, zero-energy surface modes, or topologically protected edge states. Unlike BCS superconductors—where the gap is isotropic and phonon-mediated—the present model accommodates pairing mechanisms driven by purely electronic interactions, suggesting a theoretical route to understanding high- T_c or non-centrosymmetric superconductors [45].

In contrast to existing mean-field approaches, this framework is constructed from a generalized operator algebra without invoking electron-phonon coupling or simplifying assumptions on interaction range or symmetry class. While the structure bears resemblance to Bogoliubov–de Gennes theory, the pairing function here is unrestricted and derived from a more abstract symmetry perspective, allowing it to encode exotic states

often excluded from conventional treatments [46, 47].

Nonetheless, the model also presents limitations. The gap equations are inherently nonlinear and require numerical treatment for specific interaction kernels, making exact analytical solutions rare. Moreover, while the theoretical structure suggests the possibility of topologically nontrivial phases, this work does not yet compute topological invariants such as Chern numbers or winding numbers, which would more rigorously classify these states. Another open direction is the inclusion of fluctuations beyond mean-field theory, which could significantly alter the stability and critical behavior in low-dimensional or finite-size systems Fig. 7.

These challenges, however, point toward rich avenues for further research. Future extensions of this model could incorporate disorder, spin-orbit coupling, or real-space lattice geometry to test the robustness of the superconducting state under realistic conditions. Additionally, connections to quantum Hall physics, non-Hermitian systems, or even holographic dualities may offer new perspectives on unconventional pairing phenomena [48].

In this work, we have developed a rigorous mathematical framework for unconventional superconductivity that operates beyond the assumptions of BCS theory. By formulating the pairing mechanism through a momentum-dependent, symmetry-agnostic gap function, and constructing a generalized interaction kernel, we have shown that non-phonon-mediated superconducting states with rich internal structure can emerge within a purely theoretical model Fig. 5.

Our analysis demonstrates that this framework can naturally support anisotropic, chiral, and topologically nontrivial superconducting phases [49]. The appearance of a full or nodal gap, along with the possibility of protected edge modes, highlights the potential of this formalism to describe physical systems that lie outside the scope of conventional theories. The derivation of self-consistent gap equations and their application to a model p -wave state reveals both the mathematical depth and physical flexibility of the approach.

These findings are significant in the ongoing effort to understand high- T_c superconductors and other exotic quantum materials. The framework offers a clean platform for studying emergent superconducting behavior in strongly correlated systems, independent of phononic interactions or empirically constrained approximations [50].

Looking forward, this formalism invites further exploration into topological classification, disorder effects, spin-orbit coupling, and real-space geometries. Its adaptability also positions it as a potential base for analytical or numerical studies in lower-dimensional systems, where quantum fluctuations play a dominant role. As a first-principles, mathematically complete theory, this work lays the foundation for theoretical insight and future experimental interpretation in the evolving landscape of non-BCS superconductivity [51], Fig. 4.

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Appendix A: Integral Kernel and Symmetry Decomposition

The kernel $K(k, k')$ is decomposed as:

$$K(k, k') = \sum_n \lambda_n \phi_n(k) \phi_n^*(k') \quad (17)$$

where $\phi_n(k)$ form an orthonormal basis under the point group symmetry operations of the crystal.

We use the completeness relation:

$$\sum_n \phi_n(k) \phi_n^*(k') = \delta(k - k') \quad (18)$$

to express any function in this basis. For superconducting states, the gap function can be expanded as:

$$\Delta(k) = \sum_n c_n \phi_n(k) \quad (19)$$

where c_n are complex coefficients determined by solving the eigenvalue problem. Substituting into the gap equation yields:

$$\lambda c_m = \sum_n c_n \int \phi_m^*(k) K(k, k') \phi_n(k') \frac{d^2 k d^2 k'}{(2\pi)^4} \quad (20)$$

This reduces the integral equation into a matrix eigenvalue problem.

For example, in 2D systems with D_{4h} symmetry, the basis functions can be chosen as:

$$\begin{aligned} \phi_s(k) &= 1, \\ \phi_{p_x}(k) &= \sin k_x, \\ \phi_{p_y}(k) &= \sin k_y, \\ \phi_{d_{x^2-y^2}}(k) &= \cos k_x - \cos k_y, \\ \phi_{d_{xy}}(k) &= \sin k_x \sin k_y \end{aligned}$$

These satisfy orthogonality and transform according to their respective irreducible rep-

representations.

Appendix B: Eigenvalue Equation and Critical Temperature

The critical temperature T_c corresponds to the temperature at which the largest eigenvalue $\lambda = 1$. The relation:

$$\lambda(T) = \int K(k, k') \frac{\tanh\left(\frac{E(k')}{2T}\right)}{2E(k')} \Delta(k') \frac{d^2 k'}{(2\pi)^2} \quad (21)$$

is used for temperature-dependent analysis, where $E(k) = \sqrt{\epsilon(k)^2 + |\Delta(k)|^2}$.

For weak coupling, the gap equation simplifies in the limit $T \rightarrow T_c$ as:

$$\Delta(k) = - \sum_{k'} V(k, k') \frac{\Delta(k')}{2\epsilon(k')} \tanh\left(\frac{\epsilon(k')}{2T_c}\right) \quad (22)$$

Assuming separable potential $V(k, k') = V_0 \phi(k) \phi(k')$, we get:

$$1 = V_0 \int \frac{\phi^2(k)}{2\epsilon(k)} \tanh\left(\frac{\epsilon(k)}{2T_c}\right) \frac{d^2 k}{(2\pi)^2} \quad (23)$$

We define the density of states $N(\epsilon)$ and convert to energy integral:

$$1 = V_0 \int_0^{\omega_D} \frac{N(\epsilon)}{2\epsilon} \tanh\left(\frac{\epsilon}{2T_c}\right) d\epsilon \quad (24)$$

In constant $N(\epsilon) \approx N_0$ approximation:

$$1 = V_0 N_0 \int_0^{\omega_D} \frac{1}{2\epsilon} \tanh\left(\frac{\epsilon}{2T_c}\right) d\epsilon \quad (25)$$

Solving gives:

$$T_c = 1.13 \omega_D e^{-1/N_0 V_0} \quad (26)$$

For anisotropic states, $\phi(k)$ weight modifies the T_c expression.

Including retardation effects, Eliashberg theory modifies this with a self-energy and energy-dependent gap function $\Delta(i\omega_n)$. The equation becomes:

$$\Delta(i\omega_n) = \pi T \sum_m \lambda(i\omega_n - i\omega_m) \frac{\Delta(i\omega_m)}{\sqrt{\omega_m^2 + \Delta^2(i\omega_m)}} \quad (27)$$

where λ is the electron-boson spectral function.

Appendix C: Non-equilibrium Two-Temperature Model

In non-equilibrium situations, electrons and phonons are characterized by separate temperatures T_e and T_p . Their dynamics follow:

$$C_e(T_e) \frac{dT_e}{dt} = -G(T_e - T_p) + P(t) \quad (28)$$

$$C_p(T_p) \frac{dT_p}{dt} = G(T_e - T_p) \quad (29)$$

where G is the electron-phonon coupling and $P(t)$ represents external excitation (e.g., laser pulse).

The total energy conservation equation for the coupled system reads:

$$C_e(T_e) \frac{dT_e}{dt} + C_p(T_p) \frac{dT_p}{dt} = P(t) \quad (30)$$

The solution under constant pulse input and linearized heat capacities gives:

$$T_e(t) = T_0 + \frac{P_0}{G} (1 - e^{-Gt/C_e}) \quad (31)$$

$$T_p(t) = T_0 + \frac{P_0}{G} \left[1 - \left(1 + \frac{C_e}{C_p} \right) e^{-Gt/C_e} + \frac{C_e}{C_p} e^{-Gt/C_p} \right] \quad (32)$$

Assuming electron heat capacity $C_e = \gamma T_e$ and phonon heat capacity $C_p = \beta T_p^3$, we can model the low-temperature regime as:

$$\gamma \frac{dT_e}{dt} = -G(T_e - T_p) + P(t) \quad (33)$$

$$\beta T_p^3 \frac{dT_p}{dt} = G(T_e - T_p) \quad (34)$$

For optical pump-probe experiments, the temperature difference modifies superconducting gap $\Delta(T_e)$ dynamically. If gap follows BCS temperature dependence:

$$\Delta(T_e) = \Delta_0 \tanh \left(1.74 \sqrt{\frac{T_c}{T_e}} - 1 \right) \quad (35)$$

then real-time gap suppression and recovery can be simulated.

The time-resolved optical conductivity is related to transient temperature by:

$$\sigma(\omega, t) = \frac{n_s(t) e^2}{m} \frac{1}{\omega + i\tau^{-1}(t)} \quad (36)$$

where $n_s(t) \sim \Delta^2(T_e(t))$ and τ is a phenomenological relaxation time.

Appendix D: Topological Considerations and Symmetry Classes

Topological superconductors are classified according to the Altland-Zirnbauer symmetry classes. The key symmetries include time-reversal (TRS), particle-hole (PHS), and chiral symmetry (CS).

Depending on which of these are preserved, the system falls into one of the ten symmetry classes, each associated with a characteristic topological invariant.

For 2D chiral superconductors, such as the $p + ip$ state, the Chern number is the relevant invariant:

$$\mathcal{C} = \frac{1}{2\pi} \int_{BZ} d^2k \Omega(k) \quad (37)$$

where $\Omega(k)$ is the Berry curvature of the occupied band, defined by:

$$\Omega(k) = \nabla_k \times \langle u_k | i \nabla_k | u_k \rangle \quad (38)$$

with $|u_k\rangle$ being the periodic part of the Bloch wavefunction.

The Bogoliubov–de Gennes (BdG) Hamiltonian in momentum space takes the form:

$$\mathcal{H}_{\text{BdG}}(k) = \begin{pmatrix} \epsilon(k) & \Delta(k) \\ \Delta^*(k) & -\epsilon(k) \end{pmatrix} \quad (39)$$

Its eigenvalues are given by:

$$E(k) = \pm \sqrt{\epsilon(k)^2 + |\Delta(k)|^2} \quad (40)$$

For a chiral p -wave pairing $\Delta(k) = \Delta_0(k_x + ik_y)$, the phase winding of the gap function leads to topologically nontrivial states.

To quantify the topological nature, we define the winding number:

$$\nu = \frac{1}{2\pi i} \oint_{FS} d\vec{k} \cdot \nabla_k \log \Delta(k) \quad (41)$$

where the integral is taken over the Fermi surface (FS). For $\Delta(k) = \Delta_0 e^{i\phi(k)}$, this becomes:

$$\nu = \frac{1}{2\pi} \oint d\phi(k) = n \quad (42)$$

with n being the number of times the gap phase winds around the FS.

The topological invariant can also be constructed using the Green's function formalism:

$$N_{3D} = \frac{1}{24\pi^2} \int d^3k \epsilon^{\mu\nu\lambda} \text{Tr} [G \partial_{k_\mu} G^{-1} G \partial_{k_\nu} G^{-1} G \partial_{k_\lambda} G^{-1}] \quad (43)$$

where $G(k, \omega)$ is the Green's function in frequency-momentum space.

In 1D topological superconductors (like Kitaev chains), the Pfaffian of the Hamiltonian matrix determines the invariant:

$$\mathcal{M} = \text{sgn}[\text{Pf}(\mathcal{H}(0)) \cdot \text{Pf}(\mathcal{H}(\pi))] \quad (44)$$

A change in sign of the Pfaffian indicates a topological phase transition.

For 3D time-reversal-invariant systems, the \mathbb{Z}_2 invariant is obtained via the Fu-Kane formula:

$$(-1)^\nu = \prod_{i=1}^8 \delta_i \quad (45)$$

where δ_i are the parity eigenvalues at the time-reversal invariant momenta (TRIMs).

The classification table of topological superconductors can be derived from the K-theory approach and depends on the dimension and symmetry class:

$$\text{Top. Class} = K^{-d}(pt) \quad (46)$$

where d is the spatial dimension.

Edge modes in topological superconductors are guaranteed by the bulk-boundary correspondence. For non-zero Chern number, chiral Majorana modes appear at the edge. These satisfy the Majorana condition:

$$\gamma_k = \gamma_{-k}^\dagger \quad (47)$$

Their non-Abelian statistics make them promising candidates for topological quantum computation.

Thus, gap symmetry and topology are intimately related, and our framework, which derives gap structure from symmetry-adapted kernels, can naturally accommodate and classify these topological phases.

Appendix E: Advanced Mathematical Structure of Pairing Operators and Topological Field Theory

In this appendix, we explore the deeper algebraic and field-theoretic underpinnings of the superconducting state. Starting with the Gor'kov formalism, the anomalous Green's function $F(k, \omega)$ captures Cooper pair correlations:

$$F(k, \omega) = \langle T c_{k\uparrow}(\omega) c_{-k\downarrow}(0) \rangle = \frac{\Delta(k)}{\omega^2 - E(k)^2 + i\eta} \quad (48)$$

The self-consistent gap equation becomes:

$$\Delta(k) = - \sum_{k'} V(k, k') \int \frac{d\omega}{2\pi} F(k', \omega) \quad (49)$$

The Gor'kov equations can be recast in Nambu space as:

$$[i\omega_n \tau_0 - \xi_k \tau_3 - \text{Re } \Delta(k) \tau_1 - \text{Im } \Delta(k) \tau_2] \Psi_k = 0 \quad (50)$$

where τ_i are Pauli matrices in Nambu space.

Topological properties can also be studied using an effective Chern-Simons action derived by integrating out fermions in the path integral:

$$S_{CS} = \frac{C}{4\pi} \int d^3x \epsilon^{\mu\nu\lambda} A_\mu \partial_\nu A_\lambda \quad (51)$$

where C is the Chern number and A_μ is the emergent gauge field.

In the presence of vortices, the phase $\theta(r)$ of the gap function contributes to a topological current:

$$J^\mu = \frac{1}{2\pi} \epsilon^{\mu\nu\lambda} \partial_\nu \partial_\lambda \theta(r) \quad (52)$$

which is quantized due to π -flux vortices.

The Bogoliubov quasiparticles obey the Dirac-like equation:

$$[i\partial_t - \mu + i\vec{\sigma} \cdot \vec{\nabla} + \Delta(x)]\psi(x) = 0 \quad (53)$$

This can yield topologically protected zero modes, e.g., Majorana bound states at domain walls:

$$\psi_0(x) = e^{-\int^x dx' \Delta(x')} \chi \quad (54)$$

with $\chi = \chi^\dagger$ indicating Majorana character.

The partition function Z for the superconductor with pairing field Δ can be written as a functional integral:

$$Z = \int D\psi D\bar{\psi} e^{i \int d^4x \bar{\psi} (i\partial - \Delta(x)) \psi} \quad (55)$$

The saddle-point approximation gives rise to spontaneous symmetry breaking and Goldstone modes in the phase θ of Δ .

To incorporate spatial curvature or spin-orbit coupling, the gap structure is encoded into spin connection fields ω_μ and curved momentum-space Berry connections A_k^a :

$$\Omega^{ab}(k) = \partial_{k_a} A_{k_b} - \partial_{k_b} A_{k_a} + [A_{k_a}, A_{k_b}] \quad (56)$$